


July 16, 2013

To: Medicaid Home and Community-based Long Term Care Administrators

From: Mark Kissinger 
Director, Division of Long Term Care

Subject: Use of the Uniform Assessment System for New York for Non-Medicaid Recipients

The Uniform Assessment System for New York (UAS-NY) is designed for use in the following Medicaid home and community-based long term care programs/plans:

- Adult Day Health Care (ADHC)
- Assisted Living Program (ALP)
- Care at Home (CAH) I/II Waiver
- Long Term Home Health Care Program (LTHHCP)
- Managed Long Term Care (MLTC)
- Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)
- Nursing Home Transition and Diversion Waiver (NHTD)
- Traumatic Brain Injury Waiver (TBI)

All Medicaid recipients enrolled in one of the above programs/plans must be assessed using the UAS-NY Community Assessment or the age-appropriate UAS-NY Pediatric Assessment.

Non-Medicaid recipients may be enrolled in **ALP** and **the Program for All-Inclusive Care for the Elderly (PACE)**, as per program guidelines. The expectation is that these clients will be assessed using the appropriate UAS-NY assessment instrument. The goal is to have a uniform assessment used for all clients in home and community-based long term care programs/plans.

Organizations that operate an **ADHC** which serves non-Medicaid recipients are not required to use the UAS-NY. However, an ADHC that serves both Medicaid and non-Medicaid recipients have the option to use the UAS-NY for its non-Medicaid participants.

Non-Medicaid recipients who have NOT applied for Medicaid must sign the "New York State Authorize Release of Health Information Form DOH 5032" form to be entered into the UAS-NY and be assessed. The signed, original form must be retained in the recipient's record by the organization that conducted the assessment. Programs should make every reasonable effort to have the consumer sign the DOH 5032 form. Non-Medicaid recipients who HAVE applied for Medicaid are all set and do not need to sign the DOH 5032 form.

Programs may not use the UAS-NY to assess non-Medicaid recipients who have not applied for Medicaid or who have not signed the DOH 5032 form. In these cases, the use of the paper-version of the UAS-NY assessment instruments is also prohibited. Under these circumstances, the program must use the approved assessment instruments which were in place prior to the implementation of the UAS-NY.

Questions concerning the information contained in this document may be emailed to uasny@health.state.ny.us.